DEIRDRE DES JARDINS 1 145 Beel Dr Santa Cruz, California 95060 Telephone: (831) 423-6857 Cell phone: (831) 566-6320 3 Email: ddj@cah2oresearch.com 4 Principal, California Water Research 5 6 **BEFORE THE** 7 CALIFORNIA STATE WATER RESOURCES CONTROL BOARD 8 9 HEARING REGARDING PETITION MOTION FOR RECONSIDERATION OF FILED BY THE DEPARTMENT OF HEARING RULING REGARDING EX 10 WATER RESOURCES AND U.S. BUREAU PARTE MATTERS OF RECLAMATION REQUESTING 11 CHANGES IN WATER RIGHTS FOR THE 12 CALIFORNIA WATERFIX PROJECT 13 14 15 California Water Research hereby moves that the Hearing Officers reconsider the 16 February 6, 2018 ruling on Ex Parte matters. As described in the attached affidavit, there are 17 major issues in the WaterFix hearing with knowing and willful concealment of evidence from 18 discovery by the Department of Water Resources, including willful concealment of Ex Parte 19 correspondence and documents shared with the WaterFix Hearing Team. To the extent that the 20 Hearing Team counsel knew of the evidence and documents that were being concealed from 21 discovery, it raises major issues of bias. These issues need to be resolved before the Hearing 22 proceeds. 23 The WaterFix Hearing should not proceed with the subpoenaed Ex Parte documents still 24 being concealed from discovery. California Water Research moves that the Hearing Officers 25 rule on California Water Research's oral request on July 11, 2017 in the WaterFix hearing to 26 27

compel a legally adequate response to discovery. (The Hearing Chair ruled on July 11, 2017 that she would take the request under consideration.) California Water Research also requests that the Hearing Officers consider any time limits on a motion to compel as tolled.

I. Legal argument

Adjudicatory hearings before the State Water Resources Control Board are governed by the Water Code (Wat. Code,§ 1075 et seq.) and Board regulations (Cal. Code Regs., tit. 23, § 648 et seq.), which incorporate portions of the Administrative Procedure Act (Gov. Code ,§ 11400 et seq., 11513) and the Civil Discovery Act (Code Civ. Proc., § 2016.010 et seq.).

The California Supreme Court has consistently held that "discovery statutes are to be construed broadly in favor of disclosure, so as to uphold the right to discovery whenever possible." (*Puerto v. Superior Court* (The People) (2008) 158 Cal.App.4th 1242, 1249 [citing *Emerson Electric Co. v. Superior Court* (The People) (1997) 16 Cal.4th 1101, 1107-1108; *Greyhound Corp. v. Superior Court* (The People) (1961) 56 Cal.2d 355, 377].)

Under the California Code of Civil Procedure section 2023.010, the following constitute misuses of discovery:

Subdivision (d), failing to respond or submit to an authorized method of discovery; Subdivision (e), making, without substantial justification, an unmeritorious objection to discovery;

Subdivision (f), Making an evasive response to discovery.

California Water Research argues that the attached affidavit shows that counsel for the Department of Water Resources has done all of these things, and that it was partly in connection with Ex Parte communications with the Hearing Team during the hearing. This should *not* be condoned.

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II. EVIDENCE OF CONCEALMENT

As described by Michael Brodsky in his letter of December 28, 2017, Ex Parte records have been sought under a Public Records Act request by Patrick Porgans since August 31, 2017. Mr. Brodsky also issued a Public Records Act request to the Department of Water Resources on January 12, 2017 for documents that were provided to State Water Resources Control Board Hearing Team members in Ex Parte reviews of modeling for the WaterFix hearing.²

However, as explained in the attached affidavit, the Ex Parte communications were included in specification of documents demanded on July 8, 2016 in a subpoena duces tecum for modeling information by the Pacific Coast Federation of Fishermen's Association (PCFFA) / Institute of Fisheries Resources (IFR.) Counsel for the California Department of Water Resources have knowingly and willfully concealed the documents for discovery for 18 months.

Dated February 7, 2018

Respectfully submitted,

J. J. J.

Deirdre Des Jardins Principal, California Water Research

¹ Michael Brodsky's letter of December 28, 2017 is hereby incorporated as if set forth in full herein. Available at https://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/california_waterfix/docs/2017/20171228_SCDA_PRA.pdf

² Michael Brodsky's Public Records Act Request to the California Department of Water Resources of January 12, 2017, is hereby incorporated as if set forth in full herein.

I, Deirdre Des Jardins, do hereby declare:

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The Ex Parte information sought by protestants Save the California Delta Alliance and Sacramento County et. al. was subpoenaed by the Pacific Coast Federation of Fishermen's Associations / Institute for Fisheries Resources from the Department of Water Resources on July 6, 2016. The Hearing Officers have yet to even rule on a request to provide a more legally adequate response to the subpoena.

As explained below, prior to Part 1 of the Hearing, I, Deirdre Des Jardins, principal at California Water Research, worked with the Pacific Coast Federation of Fishermen's Associations ("PCFFA") / Institute for Fisheries Resources ("IFR") to subpoena correspondence and documents under the control of the Department of Water Resources, regarding specification and review of modeling for the WaterFix Hearing. This specification clearly included the Boundary 1 and Boundary 2 scenario submitted as foundational evidence by the Petitioners for Part 1A of the WaterFix hearing. The subpoena thus compelled disclosure of Ex Parte correspondence and documents shared with the Hearing Team regarding using the Boundary 2 scenario for Part 1 of the Hearing.³

Counsel for Department of Water Resources refused to comply with the discovery request, and also placed extremely misleading statements about the adequacy of responses to the subpoena into the Hearing Record. The Hearing Officers and Hearing counsel, Dana Heinrich, also declined to rule on oral and written requests by California Water Research that DWR comply with discovery. Since DWR was not required to respond to discovery, the Ex Parte

³ Attachment 3 of Save the California Delta Alliance's January 12, 2016 filing includes an email by John Gerlack to DWR's counsel, Ken Bogdan, stating "Given the different statements that I've read, could you please clarify what modeling will be relied on for the case-in chief for each of the three phases of the hearing - 1A, 1B, and 2." Clearly this was correspondence relating to "specification and review" of modeling for the WaterFix hearing.

| correspondence and documents were not disclosed. Part | 1 of the hearing closed, depriving |
|---|------------------------------------|
| protestants of the chance to put the information into the re- | ecord. The Hearing Team counsel, |
| Dana Heinrich, also made no statements, oral or written, indicating that there were documents | |
| being withheld from discovery by DWR, although clearly she had personal knowledge of the Ex | |
| Parte documents. | |

The Hearing Officers and Hearing Team counsel also declined to answer a letter by California Water Research to the Hearing Officers on May 3, 2017⁴, which requested information on the Board's proposed process for considering modeling by the Department of Water Resources for the Board, pursuant to Water code 85086. The letter referenced review by the Board outside of the Hearing, stating

California Water Research notes that the process of DWR providing the Board with scientific information and modeling outside of any hearing could violate English v. City of Long Beach (1950) 35 Cal.2d 157, if it was used to make a decision:

The Hearing Officers and Hearing Team counsel declined to answer the letter, and DWR is providing no testimony on DWR's modeling for the Board for Part 2.

I. DISCOVERY REQUESTS RELATING TO EX PARTE CORRESPONDENCE

I, Deirdre Des Jardins, principal at California Water Research, sent a letter on May 8, 2017 to the Department of Water Resources and the Hearing Officers, requesting production of subpoenaed documents that had not been disclosed.⁵ Chief among the requests was a request for

 $^{^4}$ California Water Research's May 3, 2017 letter, $Request\,for\,clarification-Water\,Code\,\S\,\,85086\,process$, is incorporated as if set forth in full herein. Available at

 $[\]underline{https://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/california_waterfix/docs/2017/20170} \underline{503_ddj_request.pdf}$

⁵ California Water Research's May 8, 2017 letter, Request to Department of Water Resources to provide modeling information previously requested and subpoenaed for the WaterFix hearing, is hereby incorporated as if set forth in full herein. Available at

 $[\]underline{https://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/california_waterfix/docs/2017/20170}\\ \underline{508_ddj_request.pdf}$

correspondence and documents regarding the Department of Water Resources' modeling for the State Water Resources Control Board. The counsel for the Department of Water Resources, Robin McGinnis, responded by email on May 23, stating that all responsive documents had been produced. I then filed a formal request to DWR on July 10, 2017 to provide a statement of what documents were being withheld, and why.⁶ The Department of Water Resources declined to respond.

At the Hearing on June 11, 2017, I requested that the Hearing Officer compel a more thorough response by the Department of Water Resources. Robin McGinnis repeated the misleading response that all responsive documents had been produced, knowingly and willfully concealing the correspondence and documents shared by the Department of Water Resources with the WaterFix Hearing team. The Hearing Chair, Tam Doduc, thanked Ms. McGinnis for putting the statement in the record. I then requested a legally adequate response to the subpoena, stating,

"There are specific requirements for responses to subpoenas, and they need to be clear enough to indicate what documents are being withheld and why. And this is too big. It's inadequate, incomplete and evasive as a response. It's not an interrogatory. It's requesting an adequate, complete and non-evasive response to the PCFFA via our subpoena."

The Hearing Officers have yet to rule on the request.

II. DETAILED TIMELINE

A. History of subpoena

1. I requested on June 9, 2016 that the Hearing Officers require DWR and Reclamation to answer a list of questions about the Petitioners' maintenance of supporting

⁶ California Water Research's July 10, 2017 letter, Request to Department of Water Resources to clarify May 23, 2017 responses to requests to produce information on CALSIM II modeling, is hereby incorporated as if set forth in full herein. Available at

 $[\]frac{https://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/california_waterfix/docs/2017/20170}{710_ddj_request.pdf}$

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documentation, testing, and calibration information for Petitioner's CALSIM II modeling.⁷ To the extent the supporting modeling information was not published, it was required to be submitted for the Hearing under the WaterFix Water Right Change Petition Hearing Notice of October 30, 2015, which stated,

"Exhibits based on technical studies or models shall be accompanied by sufficient information to clearly identify and explain the logic, assumptions, development, and operation of the studies or models."

And

"Exhibits that rely on unpublished technical documents will be excluded unless the unpublished technical documents are admitted as exhibits." (p. 34.)

2. Dana Heinrich, the counsel for the WaterFix Hearing Team, sent me an email on Monday, June 13, 2016, responding to the filing. The email stated,

> I wanted to follow-up with you regarding your recent correspondence to the hearing officers regarding the WaterFix hearing. Due to time constraints, we were unable to respond to your June 9 letter in detail, and I wanted make sure your questions and concerns have been addressed, and potentially clear up some confusion regarding the hearing process.

> I think it might be easier to communicate by phone rather than by email. Is there a good time for you? I'm in the office through Thursday this week, and I'm available most of the day tomorrow and Wednesday.

Please let me know. Thanks in advance.

Dana Heinrich

Staff Attorney

I did contact Ms. Heinrich, who told me that the Board "does not have interrogatories" and sent me a blank, unsigned, unsealed subpoena form.

3. The Hearing Team counsel, Dana Heinrich also sent a letter to the Hearing parties on July 5, 2016, stating that the Hearing Officers would not issue a subpoena at the request of a party. The letter stated,

Section 1985 does not establish a procedure whereby a court (or, by extension, an agency) must issue a subpoena directly to another party at the request of a party. Instead, section 1985, subdivision (c) specifies that the clerk, or a judge, shall issue a subpoena "signed and sealed but otherwise in blank to a party requesting it, who shall fill it in before service." In the alternative, an attorney of record in an

⁷ California Water Research's June 9, 2016 hearing filing is hereby incorporated as if set forth in full herein.

https://www.waterboards.ca.gov/waterrights/water issues/programs/bay delta/california waterfix/docs/20160609 j ardins_request.pdf

action or proceeding may sign and issue a subpoena or subpoena duces tecum . Consistent with these provisions, the State Water Board has developed a subpoena form for the use of parties in adjudicative proceedings before the Board. A copy of the form is attached for your convenience. I also provided a copy of this form to Ms. Deirdre Des Jardins of California Water Research on June 14, 2016.

California Water Research, as an unrepresented party, could not file a blank, unsigned, unsealed subpoena, nor sign an affidavit supporting a subpoena duces tecum.

- 4. I therefore worked with counsel for PCFFA/IFR to subpoena current documentation, testing, and calibration information for the CALSIM modeling. To the extent that the Hearing Officers refused to recognize California Water Research's requests for production requests in connection with PCFFA/IFR's subpoena, it has been wholly prejudicial.
- B. Information related to review and specification of modeling
 - 1. I specified production of "reports, analyses, presentations, correspondence, spreadsheets, notes, technical memoranda, and other information" related to review and specification of the modeling during various phases of the development of the WaterFix project, recognizing that specification and review processes were of potentially greater importance than the actual model runs.

The subpoena request included information relating to review and specification of the model runs for the WaterFix hearing and for the Biological Assessment, *including information that had not been publicly released*.

Request #1

Request Number 1: All reports, analyses, presentations, correspondence, spreadsheets, notes, technical memoranda, and other information relating to specification and review of the development of petitioners' CalSim II modeling for the Bay Delta Conservation Plan ("BDCP") and WaterFix, including but not limited to the following modeling phases:

a. Alternatives Screening, including the first and second screenings described in

Appendices 3A and 31 of the Draft Environmental Impact Report ("DEIR") /

Environmental Impact Statement ("DEIS"), particularly model runs relating to the Board's 2010 Flow Criteria and the Enhanced Spring Delta Outflow Approach initially recommended by the Board;

b. Preliminary Administrative DEIR/DEIS;

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August 26, 2016 that the reports were not relevant to the 2016 model versions provided for the WaterFix Hearing, and so should be barred from use in cross-examination.

2. In cross-examination on the model's representation of the flow split at the Delta Cross Channel, DWR's counsel, James Mizell, stated that he was "unaware" that PCFFA/IFR had subpoenaed the recent testing and calibration information for that component. (Tr. August 26, 2016 260:5.) But Appendix B of DWR's August 1, 2016 filing clearly showed that the information was subpoenaed:

7.f. version history, calibration and testing information, field data, and documentation of assumptions for interior Delta flow splits, including the Sacramento River to Sutter and Steamboat Sloughs, and the Delta Cross Channel and Georgiana Slough, the San Joaquin River to Old and Middle River, and flow through Three Mile Slough, as well as Delta Island consumptive use;

(August 1, 2016 filing, Attachment B, 12: 8-13)

3. The Department of Water Resources also refused to produce *any* correspondence or documents relating to review or specification of the modeling for the WaterFix hearing or the Biological Assessment, stating that "responsive documents had been produced." It later became clear that this response was deliberately misleading, and wholly prejudicial to protestants that were legal users of water in the hearing.

D. Requests for response

- 1. I sent a letter to the Department of Water Resources and the Hearing Officers on May 8, 2017⁹, which described how DWR had failed to respond to California Water Research's requests or PCFFA/IFR's subpoena. The letter explained that documents and correspondence relating to review and specification of the modeling was different than the modeling itself, and requested production of information in the subpoena.
- 2. Robin McGinnis responded with a private email stating that DWR had produced "all documents" responsive to California Water Research's requests. I forwarded Ms.

⁹ California Water Research's May 8, 2017 letter, *Request to Department of Water Resources* to provide modeling information previously requested and subpoenaed for the WaterFix hearing, previously cited. -10-

McGinnis' email to the Hearing Officers, on July 10, 2017 requesting clarification of DWR's response. ¹⁰ The letter stated in part:

Water Code § 85086 and Boundary 1 and Boundary 2

California Water Research also requested information on the specification of CALSIM II model runs for the WaterFix hearing, and other modeling. This includes the sequence of CALSIM II models of operational scenarios that were produced by the BDCP parties and used for the First and Second Alternative Screenings in the EIR/EIS, and further modeling of operational scenarios to meet Water Code § 85086. The First and Second Alternative Screenings were documented in Appendix 3I and 3A of the Bay-Delta Conservation Plan Draft EIR/EIS (Exhibit SWRCB-4.), as well as their use by the State Water Resources Control Board for part of the analysis pursuant to Water Code § 85086. The requested information also included information related to the specification of Boundary 1 and Boundary 2 as scenarios for the WaterFix Hearing.

The Department of Water Resources responded only that "there were no further documents responsive to your requests." The response was inadequate, incomplete, and evasive, and it has since become clear that relevant documents were withheld.

California Water Research requests that the Department of Water Resources further clarify what reports, analyses, presentations, correspondence, spreadsheets, notes, technical memoranda relating to the First and Second Alternative Screenings and subsequent modeling of operational scenarios to meet Water Code § 85086 were withheld from discovery in response to the May 8, 2017 request, and the reasons they were withheld. (p. 3-4.)

The response by Robin McGinnis that DWR had produced "all documents responsive to my requests" was clearly evasive and concealed from discovery extensive Ex Parte contacts with the Hearing Team regarding modeling of operational scenarios to meet Water Code section 85086.

The Hearing counsel, Dana Heinrich, clearly should have recognized that the subpoena included records of Ex Parte correspondence with the Hearing Team.

3. At the Hearing on July 11, 2017, Robin McGinnis provided the following response for the Hearing Record, stating that she considered the request to be "an interrogatory."

25 MS. McGINNIS: Robin McGinnis with the

¹⁰ California Water Research's July 10, 2017 letter, *Request to Department of Water Resources to clarify May 23, 2017 responses to requests to produce information on CALSIM II modeling*, previously cited.

| 1 | 2 like to provide an oral response to the filing |
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| 2 | 3 yesterday by the California Water Research. We don't |
| 2 | 4 plan DWR does not plan to prepare a written response 5 to this request. |
| 3 | 6 I'll note that discovery in Board hearings is |
| 4 | 7 limited. Parties are required to produce documents |
| 7 | 8 pursuant to Water Code 1100 and Administrative 9 Procedure Act Sections 11450.10 and20. |
| 5 | 10 California Water Research's filing yesterday |
| 6 | 11 was more like an interrogatory, which is not allowed in 12 this Board hearing. And to the extent that it is a |
| 7 | 13 document request which DWR would be required to respond 14 to, there are no additional responsive documents. |
| 8 | 15 CO-HEARING OFFICER DODUC: Thank you, 16 Ms. McGinnis, for putting that in the record. We'll 17 take that under consideration. |
| 9 | (R.T. July 11, 2017, 25:25 -26:17) |
| 10 | The Hearing Officer requested that California Water Research respond: |
| 11 | 18 Ms. Des Jardins? |
| 12 | 19 MS. DES JARDINS: There are specific 20 requirements for responses to subpoenas, and they need |
| 12 | 21 to be clear enough to indicate what documents are being |
| 13 | 22 withheld and why. And this is too big. It's 23 inadequate, incomplete and evasive as a response. It's |
| 14 | 24 not an interrogatory. It's requesting an adequate, |
| 15 | 25 complete and non-evasive response to the PCFFA via our 1 subpoena. |
| | 2 CO-HEARING OFFICER DODUC: Thank you. We will 3 take that under consideration. |
| 16 | . (R.T. July 11, 2017, 26:19 -27:3) |
| 17 | |
| 18 | The Hearing Officers and Hearing Team counsel are still "considering" the request. |
| 19 | I hereby declare that this is true and correct to the best of my knowledge. Executed on |
| 20 | this 7 th day of February 2018 in Santa Cruz, California. |
| 21 | uns / day of reordary 2018 in Santa Cruz, Camorina. |
| 22 | |
| 23 | J. J. J. |
| 24 | Deirdre Des Jardins |
| 25 | Principal, California Water Research |
| 26 | |
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| 27 | California Water Research Motion for Reconsideration |

STATEMENT OF SERVICE

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California Water Research Motion for Reconsideration of Hearing Ruling Regarding Ex Parte Matters

CALIFORNIA WATERFIX PETITION HEARING Department of Water Resources and U.S. Bureau of Reclamation (Petitioners)

I hereby certify that I have this day submitted to the State Water Resources Control Board and caused a true and correct copy of the following document(s):

Motion for Reconsideration of Hearing Ruling regarding Ex Parte Matters

to be served by Electronic Mail (email) upon the parties listed in the Current Service List for the California Water Fix Petition Hearing, dated January 24, 2018, posted by the State Water Resources Control Board at

http://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/california_waterfix/service_list.shtml

Note: In the event that any emails to any parties on the Current Service List are undeliverable, you must attempt to effectuate service using another method of service, if necessary, and submit another statement of service that describes any changes to the date and method of service for those parties.

I certify that the foregoing is true and correct and that this document was executed on February 8, 2018.

Signature:

Name: Deirdre Des Jardins

Title: Principal, California Water Research

Party/Affiliation: Deirdre Des Jardins

Address: 145 Beel Dr Santa Cruz, California 95060

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