



State Water Resources Control Board

December 19, 2014

<<Operator_Name>>
Attn: <<Operator_Contact>>
<<Operator_Address>>
<< Operator_City>>, <<Operator_State>> <<Operator_Zip>>

Industrial Facility
<<WDID>>
<<Facility_Name>>
<<Facility_Address>>
<<Facility_City>>, <<Facility_State>> <<Facility_Zip>>

RECERTIFICATION REQUIREMENTS FOR REGULATORY COVERAGE UNDER NEW STATEWIDE INDUSTRIAL GENERAL STORM WATER PERMIT

To <<Operator_Contact>> or Storm Water Discharger:

According to State Water Resources Control Board (State Water Board) records, the facility referenced above is currently enrolled in the General Permit for Discharges of Storm Water Associated with Industrial Activity (Industrial General Permit)¹, and has an active Waste Discharge Identification (WDID) number. On April 1, 2014, the State Water Board adopted a new statewide Industrial General Order, General Permit for Storm Water Discharges Associated with Industrial Activities ² (also referred to as the “new Industrial General Permit”), which takes effect on July 1, 2015 replacing the existing Industrial General Permit, except for enforcement purposes. The new Industrial General Permit is available at http://www.waterboards.ca.gov/water_issues/programs/stormwater/industrial.shtml.

As a facility operator (also referred to as “Discharger”), continued compliance with the new Industrial General Permit is required. To assist Dischargers with the transition to the new Industrial General Permit requirements, the State Water Board’s Division of Water Quality staff will be conducting training workshops to assist Dischargers in understanding the changes to the new permit. A summary of significant permit changes and a schedule of the State Water Board training workshops are enclosed with this letter.

Action Required Prior to July 1, 2015 (New Industrial General Permit Effective Date)

On July 1, 2015, the facility’s permit coverage under Order 97-03-DWQ will expire and will no longer be valid. Therefore, to continue the facility’s permit coverage under the new Industrial General Permit, the Discharger must take the following actions **prior to July 1, 2015**:

¹ State Water Board Order 97-03-DWQ

² Order 2014-0057-DWQ

1. Recertify the facility's existing Notice of Intent (NOI) under the new Industrial General Permit, **and**
2. Revise and submit the facility's existing Storm Water Pollution Prevention Plan (SWPPP) and facility site map to comply with the requirements in the new Industrial General Permit.

Recertification Process (Item 1 above)

Step 1:

To obtain coverage under the new Industrial General Permit (Order 2014-0057-DWQ), the Discharger's Legally Responsible Person must register and access the State Water Board's online Stormwater Multiple Application and Report Tracking (SMART) system to recertify the facility's NOI by entering the WDID and Secret Code Number (SCN) provided below.

To begin the SMART system registration and recertify the NOI visit: <http://smarts.waterboards.ca.gov/>. Click on the link under **Industrial Permit Recertification**.

WDID: <<WDID>>
SCN: <<Secret Code Number>>

Note: The State Water Board recommends completing Step 1 as soon as possible.

Step 2:

Upload the revised SWPPP and facility site map to the SMART system after the NOI is recertified. The revised SWPPP and facility site map may be uploaded any time **prior to July 1, 2015**.

Existing Coverage Termination Process

If the operation of the facility has been transferred to another entity; has ceased operations, completed closure activities, and removed all industrial related pollutants; or the facility's operations have changed and are no longer subject the new Industrial General Permit, the Discharger must submit a completed Notice of Termination (NOT) to the applicable Regional Water Board prior to July 1, 2015. Download the NOT at: http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/indusnot.pdf. Until a valid NOT is received, the Discharger remains responsible for compliance with the new Industrial General Permit, including the Recertification Process.

Administrative Civil Liability

Failure to submit an NOI pursuant to the recertification process by July 1, 2015 may be subject to a penalty of \$5,000 pursuant to Water Code section 13399.33. If storm water from industrial activities is discharged without permit coverage, an additional penalty of up to \$10,000 per day and \$10 per gallon may be assessed pursuant to Water Code section 13385. The matter may be referred to the Attorney General or District Attorney for other civil and/or criminal liabilities.

Questions regarding the NOI recertification process please contact the Storm Water Help Desk at stormwater@waterboards.ca.gov or (866) 563-3107. Questions regarding the new Industrial General Permit requirements please contact your local Regional Water Board. A list of contacts is available at: http://www.waterboards.ca.gov/water_issues/programs/stormwater/contact.shtml

Patrick Otsuji, Senior Environmental Scientist
Surface Water Section
Division of Water Quality

Enclosures: (1) Summary of significant permit changes
(2) Schedule of State Water Board training workshops

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**Summary of Significant Changes for the
General Permit for Discharges of Storm Water Associated with Industrial Activity
Order 2014-0057-DWQ**

Electronic Reporting Requirements

This General Permit requires Dischargers to submit and certify all reports electronically via the SMART system. Under the previous permit, electronic reporting was optional.

Minimum Best Management Practices (BMPs)

This General Permit requires Dischargers to implement a set of minimum BMPs. Implementation of the minimum BMPs, in combination with any advanced BMPs necessary to reduce or prevent pollutants in industrial storm water discharges, serve as the basis for compliance with this General Permit's technology-based effluent limitations and water quality based receiving water limitations.

Conditional Exclusion - No Exposure Certification (NEC)

This General Permit applies U.S. EPA Phase II regulations regarding a conditional exclusion for facilities that have no exposure of industrial activities and materials to storm water. (40 CFR § 122.26(g)). The previous permit required light industries to obtain coverage only if their activities were exposed to storm water. This General Permit implements current U.S. EPA rules allowing any type of industry to claim a conditional exclusion. The NEC requires enrollment for coverage prior to conditionally excluding a Discharger from a majority of this General Permit's requirements. Starting July 1, 2015 the NEC will be available and must be submitted electronically through the SMART system.

Notice of Non-Applicability (NONA)

This General Permit allows industrial facilities to submit a Technical Report in the SMART system claiming either they have designed their facility to contain storm water so that there is no discharge of storm water to waters of the United States or their facility is not hydrologically connected to waters of the United States. Dischargers who submit a NONA do not need general permit coverage. The NONA will be available after July 1, 2015 and must be submitted electronically through the SMART system.

Training Expectations and Roles

This General Permit requires that Dischargers have appropriately trained personnel implementing this General Permit's requirements at each facility. If a Discharger's facility enters Level 1 status, the Level 1 ERA Report must be prepared by a Qualified Industrial Storm Water Practitioner (QISP). All Action Plans and Technical Reports required in Level 2 status must also be prepared by a QISP.

Numeric Action Levels (NALs) and NAL Exceedances

This General Permit contains two types of NAL exceedances: (1) an annual NAL and (2) an instantaneous maximum NAL. An annual NAL exceedance occurs when the average of all sampling results within a reporting year for a single parameter (except pH) exceeds the applicable annual NAL. An instantaneous maximum NAL exceedance occurs when two or more analytical results from samples taken for any parameter within a reporting year exceed the applicable instantaneous maximum NAL value. Instantaneous maximum NALs are only for Total Suspended Solids (TSS) and Oil and Grease (O&G).

Exceedance Response Actions (ERA)

This General Permit requires Dischargers to develop and implement ERAs, when an annual NAL or instantaneous maximum NAL exceedance occurs during a reporting year. The first time an annual NAL or instantaneous maximum NAL exceedance occurs for any one parameter, a Discharger's status is changed from Baseline to Level 1 status, and the Discharger is required to evaluate and revise, as necessary, its BMPs (with the assistance of a QISP) and submit a report prepared by a QISP. The

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second time an annual NAL or instantaneous maximum NAL exceedance occurs for the same parameter in a subsequent reporting year, the Discharger's status is changed from Level 1 to Level 2 status, and Dischargers are required to submit a Level 2 ERA Action Plan and a Level 2 ERA Technical Report. Unless the demonstration is not accepted by the State Water Board or a Regional Water Board, the Discharger is not required to perform additional ERA requirements for the parameter(s) involved if the Discharger demonstrates that:

1. Additional BMPs required to eliminate NAL exceedances are not technologically available or economically practicable and achievable; or,
2. NAL exceedances are solely attributable to non-industrial pollutant sources; or,
3. NAL exceedances are solely attributable to pollutants from natural background sources.

Information supporting the above demonstrations must be included in QISP-prepared Level 2 ERA Technical Reports.

CWA section 303(d) Impairment and Total Maximum Daily Loads (TMDLs)

This General Permit requires a Discharger to monitor additional parameters if the discharge(s) from its facility contributes pollutants to receiving waters that are listed as impaired for those pollutants (CWA section 303(d) listings). This General Permit lists the receiving waters that are 303(d) listed as impaired for pollutants that are likely to be associated with industrial storm water in Appendix 3. For example, if a Discharger discharges to a water body that is listed as impaired for copper, and the discharge(s) from its facility has the potential sources of copper, the Discharger must add copper to the list of parameters to monitor in its storm water discharge. New dischargers that will be discharging to a water body with a 303(d) listed impairment are ineligible for coverage unless the Discharger submits information, prepared by a QISP, that they:

1. Have eliminated all exposure to storm water of the pollutant(s) for which the water body is impaired;
2. The pollutant for which the water body is impaired is not present at the Discharger's facility; or,
3. The discharge of any listed pollutant will not cause or contribute to an exceedance of a water quality standard.

Design Storm Standards for Treatment Control BMPs

This General Permit includes design storm standards for Dischargers implementing treatment control BMPs. The design storm standards include both volume- and flow-based criteria. Dischargers are not required to retrofit existing treatment control BMPs unless required to meet the technology-based effluent limitations and receiving water limitations in this General Permit.

Qualifying Storm Event (QSE)

This General Permit defines a QSE as a precipitation event that:

1. Produces a discharge for at least one drainage area; and,
2. Is preceded by 48 hours with no discharge from any drainage area.

Sampling Protocols

This General Permit requires Dischargers to collect samples during scheduled facility operating hours from each drainage location within four hours of:

1. the start of the discharge; or
2. the start of scheduled facility operating hours if the QSE occurred in the previous twelve hours.

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This General Permit increases the sampling frequency by requiring the Discharger to collect and analyze storm water samples from each discharge location for two (2) QSEs within the first half of each reporting year (July 1 to December 31), and two (2) QSEs within the second half of each reporting year (January 1 to June 30).

Compliance Groups

This General Permit allows the formation of Compliance Groups and Compliance Group Leaders. Compliance Group Leaders must complete a State Water Board sponsored and approved training program. The approved training program will be offered by the California Stormwater Quality Association (CASQA) and called the Trainer of Record. Trainer of Record Request for Qualifications will be accepted starting the spring of 2015. Dischargers participating in a Compliance Group are required to sample twice a year at each facility. The Compliance Group option is described in more detail in General Permit section XIV.

Discharges to Ocean Waters

Dischargers with ocean-discharging outfalls subject to model monitoring provisions of the California Ocean Plan shall develop and implement a monitoring plan in compliance with the monitoring requirements established pursuant to Water Code section 13383. Dischargers who have not developed and implemented a monitoring program in compliance with the California Ocean Plan model monitoring provisions by January 1, 2015 or seven (7) days prior to commencing operations, whichever is later, are ineligible for coverage.

Industrial General Permit Training Workshops Schedule

For in-person attendance please RSVP at:

(http://www.swrcb.ca.gov/water_issues/programs/stormwater/industrial.shtml)

Region	Location	Date 1	Time	Date 2	Time
1	Santa Rosa Council Chambers 100 Santa Rosa Avenue Santa Rosa, CA 95404 Enter through Suite 10, on the upper level of the City Hall Complex	3/4/2015	1:30-4:30	4/6/2015	1:30-4:30
2	Region 2 Office Elihu M. Harris Building Auditorium 1515 Clay Street, Suite 1400 Oakland, CA 94612	2/20/2015	1:30-4:30	3/17/2015	1:30-4:30
3	Region 3 Office - Large Conference Room895 Aerovista Place, Suite 101 San Luis Obispo, CA 93401	2/11/2015	1:30-4:30	4/8/2015	1:30-4:30
4	Region 4 Office Carmel Room 320 W. Fourth Street, Suite 200 Los Angeles, CA 90013	2/2/2015	1:30-4:30	3/9/2015**	1:30-4:30
5S	Cal EPA Building (includes a webcast option) Byron Sher Auditorium 1001 I Street 2nd Floor Sacramento, CA 95814	1/16/2015	1:30-4:30	N/A	
5S	Cal EPA Building (includes a webcast option) Coastal Hearing Room 1001 I Street 2nd Floor Sacramento, CA 95814	N/A		3/20/2015	1:30-4:30
5F	Clovis Memorial Building 808 4th St Clovis, CA 93612	1/26/15	1:30-4:30	4/14/15	1:30-4:30
5R	City of Redding City Council Chamber 777 Cypress Avenue Redding, CA 96001	1/23/2015	9:00-12:00	4/23/2015	9:00-12:00
6V	Victorville City Hall - Conference Room D 14343 Civic Drive Victorville CA 92393	2/3/2015	1:30-4:30	N/A	
6V	City of Palmdale – Manzanita Ballroom Chimbole Cultural Center 38350 Sierra Hwy Palmdale CA 93550	N/A		03/10/2015	1:30-4:30
6SLT	Lahontan Water Board Annex 971 Silver Dollar Avenue South Lake Tahoe, CA 96150	2/18/2015	1:30-4:30	3/18/2015	1:30-4:30
7	Del Rio Community Center 1501 I Street Brawley, CA 92227	2/5/2015	1:30-4:30	N/A	
7	Jennings Auditorium 51501 Tyler Street Coachella, CA 92236	N/A		3/12/2015	1:30-4:30
8	Rancho Cucamonga Board Room Chambers 10500 Civic Center Dr. Rancho Cucamonga CA, 91730	2/26/2015	1:30-4:30	3/26/2015	1:30-4:30
9	San Diego Council Hearing Room County Operations Center 5520 Overland Ave San Diego, CA 92123	2/6/2015	1:30-4:30	3/24/2015	1:30-4:30

**Workshop presented in Spanish and English

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